

From: "Johnston, Sadhu" <Sadhu.Johnston@vancouver.ca>

To: "Direct to Mayor and Council - DL"

CC: "City Manager's Correspondence Group - DL"

"Smith, Doug \ (Sustainability\)" <doug.smith@vancouver.ca>

"Kelley, Gil" <Gil.Kelley@vancouver.ca>

Date: 2/4/2019 6:30:56 PM

Subject: FW: C40 Participation - Letter from Mark Watts, Executive Director, C40 Cities Climate Leadership Group

Attachments: Vancouver.pdf

Vancouver_Participation Standards Report End Year 2018.pdf

Annex - Schedule B & C of C40 Terms of Reference_version 12.pdf

Greetings Mayor and Council

Attached is our annual C40 evaluation. We thought you might be interested given today's GCAP and RCAP discussions, to see how our reporting compares to other cities.

In summary we're meeting all of our requirements as an Innovator City and are scoring above average compared to other similar cities.

Best

Sadhu

Sadhu Aufochs Johnston | City Manager
Office of the City Manager | City of Vancouver
sadhu.johnston@vancouver.ca
604.873.7627



The City of Vancouver acknowledges that it is situated on the unceded traditional territories of the Musqueam, Squamish, and Tsleil-Waututh peoples.



Mayor Kennedy Stewart
Mayor of Vancouver

CONTACT

contact@c40.org

SEE

c40.org

Dear Mayor Stewart,

Re: 2018 Participation Scoring End-Year Report

Please find enclosed your city's 2018 Participation Scoring End-Year Report, which provides an overview of your city's compliance against the C40 membership Participation Standards from January 1st until December 31st, 2018.

We are delighted by the continued commitment of your city to C40 and to taking climate action to help implement the Paris Agreement and deliver on its ambitious goal of limiting global temperature rise to 1.5 degrees, following pathways outlined in the [Deadline 2020](#) and reaffirmed recently in the [Special Report on Global Warming of 1.5°C](#). The C40 Participation Standards were approved by the Steering Committee and introduced on January 1st, 2016. The standards help to ensure C40 members continue to collectively achieve meaningful global impact in reducing both GHG emissions and climate risk.

Your city's participation as a C40 member is incredibly important to us. If your city has not yet met all the participation standards or you have any questions and comments that you would like us to know about, then I encourage you to contact your C40 Regional Director.

Yours sincerely,

A handwritten signature in black ink, appearing to read "Mark Watts".

Mark Watts
Executive Director
C40 Cities Climate Leadership Group

Overall Participation Scoring

202 / 345 Total Points



137 / 145 points



30 / 100 points



35 / 100 points

Mandatory Standards

Data Collection
Participate in all C40 data collection efforts

GHG Inventory and Vulnerability Assessment
Complete a city-wide GHG emissions GPC inventory and a vulnerability assessment

GHG Emissions Target
Set a target to reduce GHG emissions

Action Plan
City strategic action plans to reduce GHG emissions and adapt to climate change
*City committed to produce a Deadline 2020 Climate Action Plan

Annual Reporting
Report annually on progress through a C40 recognized platform (CDP)

MOU
Have a Partnership Agreement / MOU in place

Network Participation
Actively participate in C40 networks, including webinars and workshops

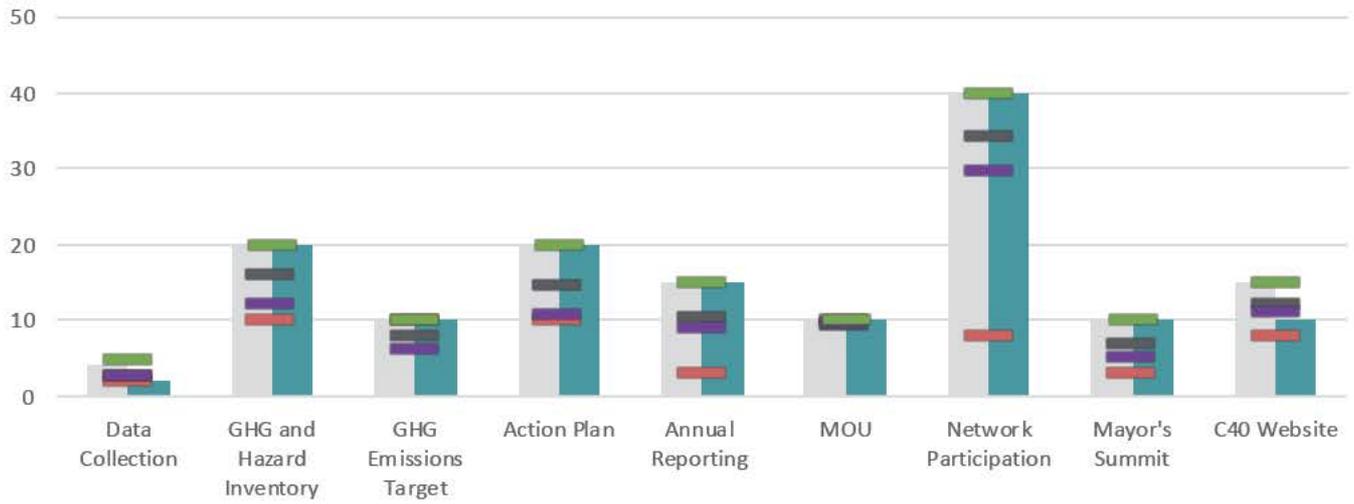
Mayor's Summit
Attend the C40 Mayor's Summit
*Updated with 2016 Mexico City Summit attendance

C40 Website
Join communications activities or submit case studies for the C40.org website

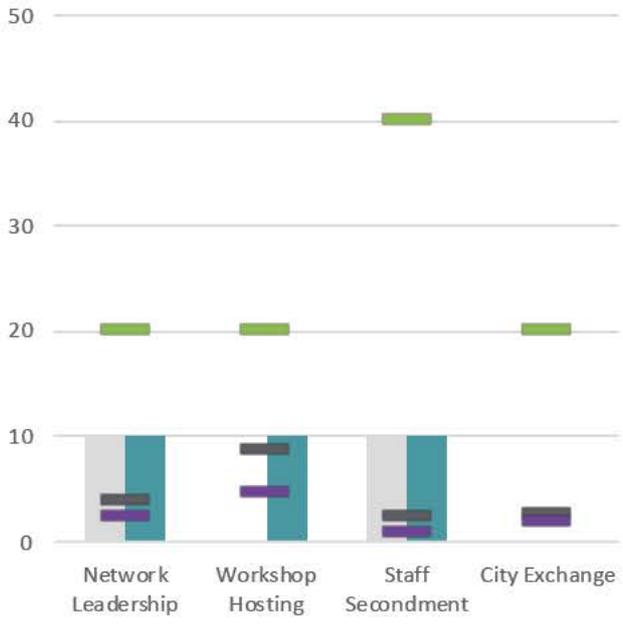
▲ Standard met which was not met in mid-year reporting
▼ Standard no longer met which was met in mid-year reporting

Standard Compliant
Standard In-Progress
Standard Not Compliant

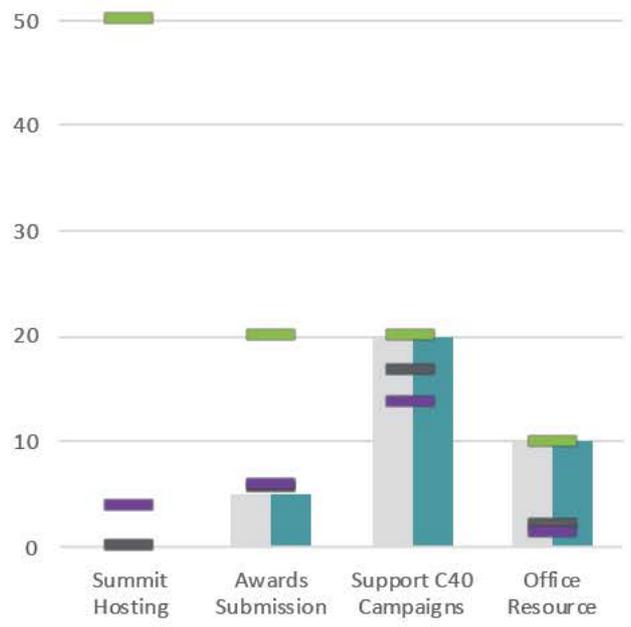
Mandatory Standards



Recommended Standards**

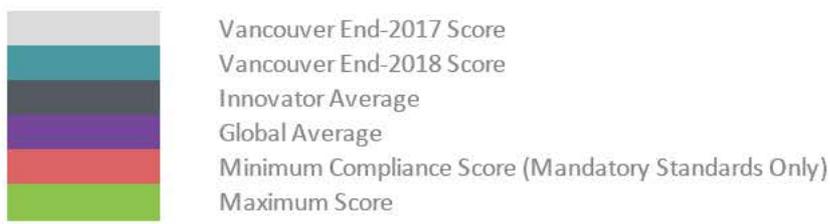


Additional Standards



*Updated with 2017 Awards data as no C40 Awards held in 2018

**Innovator Cities must fulfill at least one Recommended Participation Standard



Annex A: C40 Terms of Reference v 12.0 extract, p. 24-34

Schedule B.

C40 Participation Standards

In 2011, under the leadership of the Steering Committee and the C40 Chair, participation standards were presented and accepted at the Fourth C40 Mayors Summit, held in Sao Paulo, Brazil in May of that year.

In 2015, the C40 Steering Committee elected a sub-committee composed of the cities of Jakarta, Los Angeles, Milan, Copenhagen, and Rio de Janeiro, with the intention of developing a proposal for updated C40 Participations Standards and an Enforcement Policy to further strengthen compliance. These were presented and accepted at the Steering Committee meeting in December 2015 and come into effect from January 1st 2016.

These standards for participation and performance for Megacity, Innovator, Steering Committee and Observer C40 cities are as follows:

A list of C40 Megacities, Innovator, Steering Committee and Observer C40 cities is available at <http://www.c40.org/cities>.

1. Participation Standards for All C40 Member Cities

Table 3 – Commitments for All C40 Member Cities

STANDARDS	MANDATORY	RECOMMENDED
1. Participate in all C40 data collection efforts	✓	
2. Build and complete a city-wide GHG inventory using the GPC standard	✓	
3. Set a target to reduce GHG emissions	✓	
4. Establish City strategic action plans to reduce greenhouse gas emissions and adapt to climate change	✓	
5. Report annually on progress through a C40 recognised platform (currently CDP)	✓	
6. Sign a partnership agreement / MOU	✓	
7. Demonstrate active participation in Networks	✓	
8. Attend C40 Mayors Summit	✓	
9. Complete and update City pages on C40 website	✓	
10. Offer to be the lead city or co-lead city in a C40 network		✓
11. Offer to host a C40 Network workshop		✓
12. Offer to second staff to C40 or to another C40 city		✓
13. Host study tours and/or mentorship programs with other cities		✓

2. Additional Participation Standards for Innovator cities

Commitments

In addition to fulfilling the previous commitments (outlined in Table 3 standards 1-9 above), Innovator cities must commit to fulfilling at least one of the recommended Participation Standards each year (outlined in Table 3 standards 10 – 13).

In some instances, C40 will not be able to accept the offer from a member city to be the lead city for a network, host a workshop or second staff (for example if another C40 city has been selected to lead a network / host a workshop). In this case, the city will still be recognized as having met the Participation Standard.

3. Steering Committee Cities

Commitments

In addition to fulfilling the mandatory commitments (outlined in Table 3 standards 1-9 above), Steering Committee Cities must commit to the following:

Table 4 – Additional Commitments for Steering Committee

ADDITIONAL STANDARDS FOR STEERING COMMITTEE CITIES	MANDATORY	RECOMMENDED
14. Actively participate in Steering Committee conference calls/meetings	✓	
15. Actively represent cities in the region at the Steering Committee	✓	
16. Act as an ambassador for C40 and represent C40 on the global cities and climate change arena as C40 Vice Chair	✓	
17. Identify a member of city staff that will support C40's global objectives		✓
18. Support cross-regional engagement between Mayors and Vice Mayors		✓

4. Compliance

To be compliant with the C40 Participation Standards member cities must fulfill the mandatory requirements.

A Score Matrix¹, to reflect the importance of some membership requirements over others, will be used to define and measure compliance. C40 cities will receive a status on their C40 activity every 6 months, and the 3 best performing cities in each region will be recognised on the C40 website. The scores will not be disclosed publicly without the consent of the city.

¹ The purpose is not to compare one city with another, but to enable cities to assess their progression in participation levels year by year. C40 member cities are encouraged to increase their participation year by year as reflected by an increased score in the Participation Score Matrix.

5. Timeframe for Compliance

In order to ensure adequate time for C40 member cities to adapt to the updated Participation Standards, C40 cities will have one year from January 1st 2016 to reach compliance (for Participation Standards no. 2, no. 3 and no. 4 outlined in Table 1, cities will have two years).

If a city has committed to developing a 1.5 Degree Climate Action Plan and do not have any Climate Action Plan in place by 31st December 2017 as required above, they can be given a grace period of 12 additional months to comply with Standard no. 4.

New members to the C40 group will have two years from the date of their inclusion to C40 to reach compliance with the C40 Participation Standards.

Table 5 - Participation Score Matrix for Megacities and Innovator cities

SCORING FOR MANDATORY STANDARDS (MAX. TOTAL – 150)

No.	STANDARD	Min Score	Max Score	PARTICIPATION SCORE	DATA CAPTURING/METRICS	SOURCE
1	Participate in all C40 data collection efforts	2	5	<ul style="list-style-type: none"> 2 points per data collection initiative 	List of data collection efforts participated in List of data collection efforts disclosed publicly	Research team
2	Build and complete a city-wide GHG emission inventory using the GPC standard	10	20	<ul style="list-style-type: none"> GHG emission inventory – 10 points Vulnerability/Risk assessment mapping – 10 points 	City has a GPC-compliant GHG emission Inventory <ul style="list-style-type: none"> ○ YES ○ NO City has a Vulnerability assessment <ul style="list-style-type: none"> ○ YES ○ NO 	Measurement and planning initiative
3	Set a target to reduce GHG emissions	10	10	<ul style="list-style-type: none"> Set GHG emission reduction target – 10 points 	City has set a target <ul style="list-style-type: none"> ○ YES ○ NO [Qualifying GHG target is defined based on Measurement and Planning Initiative methodology]	Measurement and Planning Initiative
4	City strategic action plans to <ul style="list-style-type: none"> reduce greenhouse gas emissions and adapt to climate change 	10	20	<ul style="list-style-type: none"> Complete a climate action plan – 10 points. Complete a climate change adaptation plan (including climate change adaptation objectives) – 10 points. Plan in progress – 5 points 	Evidence of GHG reduction target. Evidence of risk management objectives. Status of climate action plan <ul style="list-style-type: none"> ○ In progress ○ Completed 	Measurement and Planning Initiative

No.	STANDARD	Min Score	Max Score	PARTICIPATION SCORE	DATA CAPTURING/METRICS	SOURCE
5	Report annually through C40 recognised platform (currently CDP) [Scores are calculated based on Measurement & Planning Initiative scoring methodology]	3	15	<ul style="list-style-type: none"> 3 points for thoroughness score > 50%; 6 points for thoroughness score > 70% 3 points for completion > 70%; 6 points for completion > 90% 3 points for public disclosure of data. 	Quality of CDP reports <ul style="list-style-type: none"> Needs substantial improvement Average Excellent 	Measurement and Planning Initiative
6	Have a Partnership Agreement with C40 / MOU in place	10	10	<ul style="list-style-type: none"> Partnership Agreement/ MoU signed – 10 points 	Status of Partnership Agreement <ul style="list-style-type: none"> Signed Not Signed 	Regions Team
7	Network Participation	8	40	<ul style="list-style-type: none"> Participating in a network interaction (including webinars, calls or email exchanges with other cities or technical assistance partner) – 4 points Presenting on a network webinar – 5 points Attending a workshop or participating in an exchange visit – 10 points per workshop or per exchange visit 	Cities participation in webinars <ul style="list-style-type: none"> List of webinars attended # of presentations made List of workshops attended 	Global Initiatives Team
8	Attend Mayors Summit	3	10	Highest representation only: <ul style="list-style-type: none"> Attendance by officer – 3 points Attendance by Deputy Mayor – 7 points Attendance by Mayor – 10 points 	City attendance at the most recent Summit <ul style="list-style-type: none"> Mayor Deputy Mayor Official 	Governance Team

No.	STANDARD	Min Score	Max Score	PARTICIPATION SCORE	DATA CAPTURING/METRICS	SOURCE
9	C40.org Website	8	15	<ul style="list-style-type: none"> Completed City profile OR participation in a comms activity – 5 points Posted 1 case study - 5 points Posted 2 Case Studies – 10 points Posted 5 Case Studies – 15 points 	Status of City's profile on C40.org <ul style="list-style-type: none"> Completed/ Participated Incomplete/ Not participated Last update on C40.org <ul style="list-style-type: none"> Within 3 months Before 3 months Case studies posted	Communications Team

SCORING FOR RECOMMENDED STANDARDS (MAX. TOTAL – 100)

No.	STANDARD	Max Score	PARTICIPATION SCORE	DATA CAPTURING/METRICS	SOURCE
10	Offer to lead a network	20	<ul style="list-style-type: none"> Co-lead a network – 10 points for each network Lead a network – 10 points for each network 	List of Networks led by the city List of Networks co-led by the city 	Global Initiatives Team
11	Offer to host at least one workshop	20	<ul style="list-style-type: none"> Hosting a C40 workshop – 10 points for each workshop 	List of C40 Workshops hosted by City 	Global Initiatives Team

No.	STANDARD	Max Score	PARTICIPATION SCORE	DATA CAPTURING/METRICS	SOURCE
12	Offer to second staff to C40 or to another C40 city	40	<ul style="list-style-type: none"> 10 points for each staff seconded. 	List of staff seconded by city or C40	Regions Team
13	Host study tours and/or mentorship programs with other cities	20	<ul style="list-style-type: none"> Hosting an exchange visit – 10 points for each exchange organized 	List of C40 Exchange visits hosted by City	Global Initiatives Team

SCORING FOR ADDITIONAL ACTIVITIES (Max. Total 100)

No.	STANDARD	Max Score	PARTICIPATION SCORE	DATA CAPTURING/METRIC	SOURCE
19	Host C40 Summit	50	<ul style="list-style-type: none"> Hosting a Summit – 50 points 	City has hosted any of the C40 Summits <input type="radio"/> YES <input type="radio"/> NO	Events Team
20	Submission of entries to C40 Awards	20	<ul style="list-style-type: none"> Submission of entries to Cities Climate Leadership Awards – 5 points per entry 	Has the city submitted entries for the Awards this year <input type="radio"/> YES <input type="radio"/> NO	Events Team
21	Supporting specific C40 campaigns	20	<ul style="list-style-type: none"> 5 points per written support or commitment (e.g. letter, participation in social media campaign, etc.) 10 points per in person championing of campaign (e.g. events, press panel, engagement with stakeholders, etc.) 5 points for communication of campaign to citizens (e.g. billboard) 	List of C40 campaigns supported by the city	City Diplomacy and Communications team
22	Providing office space for RDs / Hosting a C40 Office	10	<ul style="list-style-type: none"> 10 points for hosting the RD or local C40 office 	Does the City host a C40 office (except City Adviser ²)? <input type="radio"/> YES <input type="radio"/> NO	Regions Team

² The city that hosts a City Adviser directly benefits from the City Advisers presence and should not be rewarded twice

Table 6 - Participation Score Matrix for Steering Committee Cities

SCORING FOR MANDATORY STANDARDS (MAX. TOTAL – 80)

NO.	STANDARD	Min Score	Max Score	PARTICIPATION SCORE	DATA CAPTURING/METRICS	SOURCE
14	Actively participate in regular Steering Committee conference calls/meetings	10	40	<ul style="list-style-type: none"> For every Steering Committee call attended by Mayor - 5 points For every Steering Committee call attended by Mayor’s representative - 2 points For every Steering Committee meeting attended by Mayor- 10 points For every Steering Committee meeting attended by official –5 points 	# of Steering Committee meetings attended	Governance Team
15	Actively represent cities in the region at the Steering Committee	5	20	<ul style="list-style-type: none"> For each representation opportunity carried out by the Mayor- 10 points For each representation opportunity carried out by city official - 5 points 	# of Representation opportunities (sharing the key decisions taken by the SC in the region) - when there are 2 SC cities for one region, each city will be responsible for 2 of the 4 meetings in a year (1 per semester)	Governance Team
16	Act as an ambassador for C40 and represent C40 on the global cities and climate change arena as C40 Vice Chair	5	20	<ul style="list-style-type: none"> For each representation opportunity carried out by Mayor- 10 points For each representation opportunity carried out by city official- 5 points 	# of Representation opportunities	Regions Team

SCORING FOR RECOMMENDED STANDARDS (MAX. TOTAL – 20)

NO.	STANDARD	Max Score	PARTICIPATION SCORE	DATA CAPTURING/METRICS	SOURCE
17	Identify a member of city staff that will support C40’s global objectives	5	5 points for dedicating staff on SC role	City has staff assigned <ul style="list-style-type: none"> • YES • NO (Name of staff assigned for SC role to be indicated)	Governance Team
18	Support cross-regional engagement between Mayors and Vice-Mayors	15	For each cross regional engagement involving Mayors – 5 points For each cross regional engagement involving Officials – 3 points	# of cross-regional engagements (calls, visits, side meetings at events, etc.)	Regions Team

Schedule C.

C40 Enforcement Policy

In order to support a high level of participation from C40 cities, a transparent set of principles for engaging with C40 cities and a C40 Enforcement Policy were established.

The Enforcement Policy comprises of the following principles:

- (a) A city that does not comply with Participation Standards for four consecutive quarters (12 months) will receive formal notification of non-compliance from the C40 Chair.
- (b) Following this, the city has two consecutive quarters (six months) to reach compliance. If compliance is still not attained after these two quarters have passed (18 months), the city will automatically be moved into the category of an “Inactive” member city.
- (c) “Inactive” C40 cities will remain in this membership category for four consecutive quarters (one year). After this time, the Steering Committee must decide if the city should either be reinstated to full C40 membership or be annulled as a C40 member city, based on the participation score that the city has obtained as an “Inactive” member.

Inactive cities will not be able to participate in the following C40 activities:

- (a) City Advisor Programme or similar direct support efforts.
- (b) External partnerships, technical assistance and other funding opportunities obtained through C40.
- (c) “Inactive” C40 member cities will have to cover all costs related to their participation in C40 network workshops.
- (d) ‘Inactive’ C40 member cities won’t be allowed to run for Steering Committee seat neither vote in Steering Committee elections.

A city can remain “inactive” for 1 year, after which the Steering Committee must either reinstate it to full C40 membership or annul it as a C40 member city.

A city that has had its C40 membership annulled by the Steering Committee will have the opportunity to reapply for C40 membership at any time. For the re-application, the Mayor of the annulled C40 city must prepare a letter of intent to the C40 Chair stating the city’s commitment to comply with the C40 Participation Standards.

The decision to accept renewed membership of an “inactive” C40 city is taken by the C40 Steering Committee.